

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail Stop ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
 or **Fax (571)-273-2885**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless directed below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

06/01/2007

Marina Portnova  
 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
 Seventh Floor  
 12400 Wilshire Boulevard  
 Los Angeles, CA 90025  
 09/05/2007 SFELEKE2 00000059 10674982

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Carla Anyasia Nascimento	(Depositor's name)
	(Signature)
August 30, 2007	(Date)

01 FC:1501

1400.00 DP

APPL. NO.

FILING DATE 09/29/2003

FIRST NAMED INVENTOR

ATTORNEY DOCKET NO.

CONFIRMATION NO.

10/674,982

09/29/2003

Jcngnam Youn

080398.P563

4997

TITLE OF INVENTION: METHOD FOR GROUPING SHORT WINDOWS IN AUDIO ENCODING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	09/04/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
SHORTLEDGE, THOMAS E	2626	704-500000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

<sup>1</sup> Blakely, Sokoloff,  
<sup>2</sup> Taylor & Zafman LLP

<sup>3</sup> \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

**Sony Corporation/Sony Electronics Inc.**

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

**Tokyo, Japan/Park Ridge, NJ**

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee

☒ Publication Fee (No small entity discount permitted)

☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

☒ A check is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_

Date Aug. 30, 2007

Typed or printed name Sheryl Sue Holloway

Registration No. 37,950

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Page 1 of 3

**2. EXCESS CLAIM FEES****Fee Description****Large Entity**      **Small Entity**

Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
1202	50	2202	25
1201	200	2201	100
1203	360	2203	180
1204	200	2204	100
1205	50	2205	25

Code	(\$)	Code	(\$)	Fee Description
1202	50	2202	25	Each claim over 20
1201	200	2201	100	Each independent claim over 3
1203	360	2203	180	Multiple dependent claims, if not paid
1204	200	2204	100	Reissue: each claim over 20 and more than in the original patent
1205	50	2205	25	Reissue: each independent claim more than in the original patent

	Extra Claims	Fee	Fees Paid (\$)
Total Claims _____ - 20 or HP = _____	X	_____	= _____
HP = highest number of total claims paid for, if greater than 20			
Independent Claims _____ - 3 or HP = _____	X	_____	= _____
HP = highest number of independent claims paid for, if greater than 3			
Multiple Dependent Claims _____		_____	= _____
SUBTOTAL (2) \$ _____ 0			

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 C.F.R. 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee from below	Fees paid (\$)
_____ - 100 = _____	/ 50 = _____	(round up to whole number)	X \$ _____	_____

**Large Entity**      **Small Entity**

Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
1081	250	2081	125
1082	250	2082	125
1083	250	2083	125
1084	250	2084	125

Code	(\$)	Code	(\$)	Fee Description: Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings):
1081	250	2081	125	Utility
1082	250	2082	125	Design
1083	250	2083	125	Plant
1084	250	2084	125	Reissue

1081	250	2081	125	Utility
1082	250	2082	125	Design
1083	250	2083	125	Plant
1084	250	2084	125	Reissue

SUBTOTAL (3) \$ \_\_\_\_\_ 0

**FEE CALCULATION (continued)****4. OTHER FEE(S)**

					<b>Fees Paid (\$)</b>
<b>Non-English Specification, \$130 fee (no small entity discount)</b>					
<b>Large Entity</b>		<b>Small Entity</b>			
<b>Fee</b>	<b>Fee</b>	<b>Fee</b>	<b>Fee</b>	<b>Fee Description</b>	
<b>Code</b>	<b>(\$)</b>	<b>Code</b>	<b>(\$)</b>		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive - unavoidable	
1453	1,500	2453	750	Petition to revive - unintentional	
1501	1,400	2501	700	Utility issue fee (or reissue)	1400.00
1502	800	2502	400	Design issue fee	
1503	1100	2503	550	Plant issue fee	
1462	400	1462	400	Petitions to the Commissioner (CFR 1.17(f) Group I)	
1463	200	1463	200	Petitions to the Commissioner (CFR 1.17(g) Group II)	
1464	130	1464	130	Petitions to the Commissioner (CFR 1.17(h) Group III)	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	130	2814	65	Statutory Disclaimer	
1810	790	2810	395	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	300.00
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,370	1454	1,370	Acceptance of unintentionally delayed claim for priority	
Other fee (specify) _____					
Other fee (specify) _____					
<b>SUBTOTAL (4)</b>					<b>\$ 1700.00</b>

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Sheryl Sue Holloway

Signature: Date: Aug. 30, 2007Reg. Number: 37,850Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Attorney Docket No. 80398.P563

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
 ) Art Unit: 2626  
Jeongnam Youn )  
 )  
Serial No.: 10/674,982 ) Examiner: Shortledge, T.  
 )  
Filed: September 29, 2003 )  
 )  
For: Method for Grouping Short Windows )  
in Audio Encoding )  
 )  
\_\_\_\_\_  
Mail Stop Issue Fee  
P.O. Box 1450  
Alexandria, VA 22313-1450

PAYMENT OF ISSUE FEE

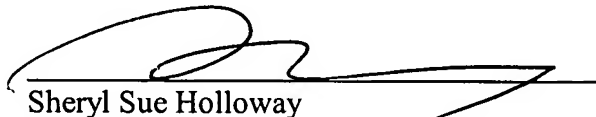
In response to the Notice of Allowance mailed June 1, 2007, enclosed herewith for filing in the above-referenced patent application is a check in the amount of \$1,700.00 for payment of the issue fee and the publication fee.

Please charge any shortages or credit any overages to our Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: Aug. 30, 2007

  
Sheryl Sue Holloway  
Reg. No. 37,850

1279 Oakmead Parkway  
Sunnyvale, CA 94085-040  
(408) 720-8300



Attorney Docket No.: 80398.P563

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Jeongnam Youn

Serial No.: 10/674,982

Filed: September 29, 2003

For: Method for Grouping Short Windows in  
Audio Encoding

P.O. Box 1450

Alexandria, VA 22313-1450

Examiner: Shortledge, T.

Art Unit: 2626

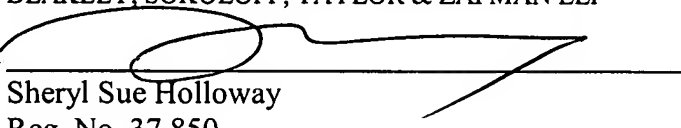
**COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE**

Applicants are assuming that the Examiner's statement of reasons for allowance is to be taken in light of the structure and interaction recited in the claims. Applicants note that the Examiner's various comments should not be used to read non-existent limitations into the claims.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: Aug. 30, 2007

  
Sheryl Sue Holloway  
Reg. No. 37,850

1279 Oakmead Parkway  
Sunnyvale, CA 94085-040  
(408) 720-8300



Atty Docket No. 080398.P563

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: ) Examiner: Shortledge, Thomas E.  
Jeongnam Youn ) Art Unit: 2626  
Application No. 10/674,982 ) Confirmation No.: 4997  
Filed: September 29, 2003 )  
For: A Method For Grouping Short )  
Windows In Audio Encoding )  
MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

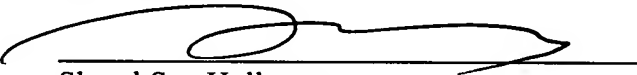
**RESPONSE TO INTERVIEW SUMMARY MAILED JUNE 1, 2007**

Applicant thanks the Examiner for the telephone interview on April 24, 2007 in which Applicant's counsel and the Examiner discussed Applicant's proposed amendments and arguments to overcome the 35 U.S.C. § 101 rejection of the pending claims. Agreement on the allowability of all the claims was reached.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR  
& ZAFMAN LLP

Dated: August 20, 2007

  
Sheryl Sue Holloway  
Attorney for Applicant  
Registration No. 37,850

1279 Oakmead Parkway  
Sunnyvale, CA 94085-4040  
(408) 720-8300 x3476